



**SHIVOM INVESTMENT & CONSULTANCY LIMITED**  
91, N. S. Road, 3rd Floor, Vilayati Kothi, Kolkata - 700001  
Phone No. : 8232038374, Email ID: [shivomicl@gmail.com](mailto:shivomicl@gmail.com)  
Website: [www.shivominvestmentconsultancyLtd.com](http://www.shivominvestmentconsultancyLtd.com)  
CIN: L74140WB1990PLC049044

Dated: October 16, 2017

To,  
The Bombay Stock Exchange  
Department of Corporate Services  
Floor - 25, PJ Tower,  
Dalal Street,  
Mumbai - 400001

To,  
Compliance Department  
Metropolitan Stock Exchange of India Limited  
Vibgyor Towers, 4th floor, Plot No C 62, G - Block,  
Opp. Trident Hotel, Bandra Kurla Complex, Bandra (E),  
Mumbai - 400 098

To,  
Corporate Relationship Dept.  
The Calcutta Stock Exchange Limited  
7 Lyons Range,  
Kolkata - 700 001

Sub: Quarterly compliance of Shareholding Pattern under Regulation 31 of the SEBI (Listing Obligation and Disclosure Requirements) Regulation, 2015 as on 30-09-2017.

Script Code: BSE: 539833, MSEI: SHIVOM, CSEX: 17099

Dear Sir/Madam

We are enclosing herewith the shareholding pattern of the company under Regulation 31 of the SEBI (Listing Obligation and Disclosure Requirements) Regulation, 2015 for the quarter ended 30<sup>th</sup> September, 2017.

Kindly take the above information on record.

Thanking you,

Yours faithfully,

For Shivom Investment & Consultancy Ltd

*Ashish M. Sultania*

Managing Director  
(Pradip Kumar Sultania)  
DIN: 00539550



Format of holding of specified securities

Annexure - I

- 1 Name of Listed Entity : SHIVOM INVESTMENT & CONSULTANCY LTD.
- 2 Scrip Code/Name of Scrip/Class of Security :
- 3 Share Holding Pattern Filed under: Reg. 31(1) ( b ) : **Quarter Ended 30/09/2017**
- 4 Declaration:

	Particulars	Yes	No
1	Whether the Listed Entity has issued any partly paid up shares		NO
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3	Whether the Listed Entity has any shares against which depository receipt are issued?		NO
4	Whether the Listed Entity has any shares in locked-in?		NO
5	Whether any shares held by promoters are pledge or otherwise encumbered?		NO

- 5 The tabular format for disclosure of holding of specified securities is as follows:-



Table I - Summary Statement holding of specified securities

Category (i)	Category of shareholder (ii)	Nos. of shares held as fully paid up equity shares (iii)	No. of equity shares held (iv)	No. of partly paid-up equity shares held (v)	No. of shares underlying Depository Receipt (vi)	Total nos. shares held (vii) = (iv) + (v) + (vi)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (viii) As a % of (A+B+C2)			Number of Voting Rights held in each class of securities (ix)			No. of Shares Underlying convertible securities (including Warrants) (x)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (xi) = (vii) + (x) as a % of (A+B+C)	Number of Locked in shares (xii)		Number of Shares pledged or otherwise encumbered (xiii)		Number of equity shares held in dematerialized form (xiv)
							Class eq.x	Class eq.y	Total	Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)			No. (a)	As a % of total Shares held (b)			
(A)	Promoter & Promoter Group	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0	
(B)	Public	3163	69951325	0	0	69951325	100.000	69951325	0	69951325	100.000	0	0.000	0	0.000	0	0.000	52113360	
(C)	Non Promoter - Non Public																		
(C1)	Shares underlying DRs																		
(C2)	Shares held by Employee Trusts																		
	<b>TOTAL</b>	<b>3163</b>	<b>69951325</b>	<b>0</b>	<b>0</b>	<b>69951325</b>	<b>100.000</b>	<b>69951325</b>	<b>0</b>	<b>69951325</b>	<b>100.000</b>	<b>0</b>	<b>0.000</b>	<b>0</b>	<b>0.000</b>	<b>0</b>	<b>0.000</b>	<b>52113360</b>	



Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of shareholders (A)	PAN (B)	Nos. of shareholders (C)	No. of equity shares held (D)	No. of fully paid-up equity shares held (E)	No. of partly paid-up equity shares held (F)	No. of underlying Depository Receipts (G)	Total nos. shares held (H) = (E)+(F)+(G)	Shareholding % calculated as per SCRR, 1957 (I) = (H)/(A+B+C) As a % of (A+B+C) (J)	Number of Voting Rights held in each class of securities (K)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (L)	Shareholding as a % assuming full conversion of convertible securities (in a percentage of diluted share capital) (M) = (L)/(H) As a % (N)	Number of Locked in shares (O)		Number of Shares pledged or otherwise encumbered (P)		Number of equity shares held in dematerialized form (Q)	
									No. of Voting Rights					As a % of total shares held (R)	No. (S)	As a % of total shares held (T)	No. (U)		As a % of total shares held (V)
									Class	X	Y								
(1) Indian Individuals/Indi		0	0	0	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0	0.000	0	0	
(a) undivided Family		0	0	0	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0	0.000	0	0	
(b) Central Government/State Government/		0	0	0	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0	0.000	0	0	
(c) Financial Institutions/Banks		0	0	0	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0	0.000	0	0	
(d) Any Other Bodies/Corporate		0	0	0	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0	0.000	0	0	
(e) Trusts		0	0	0	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0	0.000	0	0	
(f) Clearing Members		0	0	0	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0	0.000	0	0	
(g) Sub-Total (A)(1)		0	0	0	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0	0.000	0	0	
(2) Foreign Individuals (Non-Resident		0	0	0	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0	0.000	0	0	
(a) Individuals/Foreign		0	0	0	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0	0.000	0	0	
(b) Government Institutions		0	0	0	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0	0.000	0	0	
(c) Foreign Portfolio Investor		0	0	0	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0	0.000	0	0	
(d) Any Other		0	0	0	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0	0.000	0	0	
(e) OCBs		0	0	0	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0	0.000	0	0	
(f) Sub-Total (A)(2)		0	0	0	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0	0.000	0	0	
<b>Total Shareholding of Promoter and Promoter Group</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.000</b>	<b>0</b>	<b>0.000</b>	<b>0</b>	<b>0.000</b>	<b>0</b>	<b>0.000</b>	<b>0</b>	<b>0.000</b>	<b>0</b>	<b>0</b>	
(A)-(A)(1)-(A)(2)																			



**Table III - Statement showing shareholding pattern of the Public shareholder**

Category & Name of shareholders (i)	PAN (ii)	Nos. of shareholder (iii)	No. of fully paid-up equity shares held (iv)	No. of partly paid-up equity shares held (v)	Nos. of shares underlying Depository Receipt (vi)	Total nos. shares held (vii) = (iv)+(v)+(vi)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (viii)	Number of Voting Rights held in each class of securities (ix)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (x)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (xi) = (vii)+(x) as a % of (A+B+C)	Number of Locked in shares (xii)		Number of Shares pledged or otherwise encumbered		No. of equity held in dematerialized form (xiv)	
								No. of Voting Rights		Total % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		
								Class x	Class y									
(1) Institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0	
(a) Mutual Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0	
(b) Venture Capital Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0	
(c) Alternate Investment Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0	
(d) Foreign Venture Capital Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0	
(e) Foreign Portfolio Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0	
(f) Financial Institutions/Banks		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0	
(g) Insurance Companies		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0	
(h) Provident Funds/Pension Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0	
(i) Any Other Foreign Institutional Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0	
QFI - Corporate		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0	
Sub-Total (B)(1)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0	
Central Government/State Government(s)/President of India		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0	
Sub-Total (B)(2)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0	
(3) Non-Institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0	
(a) Individuals		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0	
i) Individual shareholders holding nominal share capital up to Rs.2 Lakhs.		2514	21869619	0	0	21869619	31.264	21869619	0	21869619	31.264	0	0.000	0	0.000	0	0.000	12971864
ii) Individual shareholders holding nominal share capital in excess of Rs.2 Lakhs.		556	31697569	0	0	31697569	45.314	31697569	0	31697569	45.314	0	0.000	0	0.000	0	0.000	22977729
SURAJ KUMAR	DDZPK9082F	0	1305150	0	0	1305150	1.866	1305150	0	1305150	1.866	0	0.000	0	0.000	0	0.000	1305150
TEJINDER SINGH	ALOPS493C	0	825000	0	0	825000	1.179	825000	0	825000	1.179	0	0.000	0	0.000	0	0.000	0
NBFCs registered with RBI		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(b) Employee Trusts		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c) Overseas Depositors (holding DRs)/balancing (A1 figure)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0



Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public shareholder

Category & Name of shareholders (i)	PAN (ii)	Nos. of shareholders (iii)	No. of fully paid up equity shares held (iv)	No. of partly paid-up equity shares held (v)	Nos. of shares underlying Depository Receipt (vi)	Total nos. shares held (vii) = (iv)+(v)+(vi)	Shareholding as a % calculated as per SCRR, 1957 As a % of (A+B+C2) (viii)	Number of Voting Rights held in each class of securities (ix)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (x)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (xi) = (vii)+(x) as a % of (A+B+C)	Number of Locked in shares (xii)		Number of Shares pledged or otherwise encumbered (xiii)	Number of equity shares held in dematerialized form (xiv)			
								No. of Voting Rights					As a % of total Shares held (b)	No. (a)			As a % of total Shares held (b)	No. (e)	As a % of total Shares held (b)
								Class x	Class y	Total									
(1) Custodian DR Holder																			
(2) Employee Benefit Trust (under SEBI (share based Employee Benefit) Regulations, 2014)																			
<b>Total Non-Promoter - Non-Public Shareholding (C)=(1)+(2)</b>																			

