

Dated: October 27, 2016

To,  
**The Bombay Stock Exchange**  
Department of Corporate Services  
Floor – 25, PJ Tower,  
Dalal Street,  
Mumbai – 400001

**Sub: Quarterly Compliance under REG. 55A of SEBI (DEPOSITORIES AND PARTICIPANTS), Regulations 1996**

**Script Code: 539833**

Dear Sir/Madam

We are enclosing herewith Quarterly Compliance for September, 2016 as mentioned below:


1.	REG. 55A	Secretarial Audit Report issued by Practicing Company Secretary.
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Kindly take the above information on record.

Thanking you,

Yours faithfully,

**For Shivom Investment & Consultancy Ltd**  
**Shivom Investment & Consultancy Limited**



**Managing Director**  
**(Pradip Kumar Sultania)**  
**DIN: 00539550**





## NOMITA VERMA

Company Secretary

2/22, Ashok Nagar  
2nd Floor, Kolkata - 700040  
Email : namita.verma99@gmail.com  
Contact : 98838/86977 19757

### RECONCILIATION OF SHARE CAPITAL AUDIT REPORT

1 For Quarter Ended	30th September, 2016				
2 ISIN	INE074G01014				
3 Face Value	Rs. 10/-				
4 Name of the Company	SHIVOM INVESTMENT & CONSULTANCY LTD				
5 Registered Office Address	91, N. S. Road, 3rd Floor, Vilayati Kothi, Kolkata- 700001, West Bengal				
6 Correspondence Address	91, N. S. Road, 3rd Floor, Vilayati Kothi, Kolkata- 700001, West Bengal				
7 Telephone & Fax Nos.	033-32627554				
8 Email address	shivomicl@gmail.com				
9 Names of the Stock Exchanges where the company's securities are listed	The Calcutta Stock Exchange Limited (CSE) & Bombay Stock Exchange Limited (BSE) & Metropolitan Stock Exchange of India Limited (MCX)				
10 Issued Capital	<table border="1"><tr><td>Number of shares</td><td>% of Total Issued Cap.</td></tr><tr><td>69951325</td><td>100%</td></tr></table>	Number of shares	% of Total Issued Cap.	69951325	100%
Number of shares	% of Total Issued Cap.				
69951325	100%				
11 Listed Capital (Exchange-wise) CSE/ASEL/MCX	<table border="1"><tr><td>Number of shares</td><td>% of Total Issued Cap.</td></tr><tr><td>69,951,325</td><td>100%</td></tr></table>	Number of shares	% of Total Issued Cap.	69,951,325	100%
Number of shares	% of Total Issued Cap.				
69,951,325	100%				
12 Held in dematerialised form in CDSL	<table border="1"><tr><td>Number of shares</td><td>% of Total Issued Cap.</td></tr><tr><td>19364711</td><td>27.68</td></tr></table>	Number of shares	% of Total Issued Cap.	19364711	27.68
Number of shares	% of Total Issued Cap.				
19364711	27.68				
13 Held in dematerialised form in NSDL	<table border="1"><tr><td>Number of shares</td><td>% of Total Issued Cap.</td></tr><tr><td>32532499</td><td>46.51</td></tr></table>	Number of shares	% of Total Issued Cap.	32532499	46.51
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32532499	46.51				
14 Physical	<table border="1"><tr><td>Number of shares</td><td>% of Total Issued Cap.</td></tr><tr><td>18054115</td><td>25.81</td></tr></table>	Number of shares	% of Total Issued Cap.	18054115	25.81
Number of shares	% of Total Issued Cap.				
18054115	25.81				
15 Total No. of shares (12+13+14)	69951325				
16 Reasoning for difference if any, between	NIL				
17 Certifying the details of changes in share capital during the period under consideration as per Table below					

Particulars***	No. of shares	Applied / Not Applied for listing	Listed on Stock Exchanges (Specify Names)	whether intimated to CDSL	whether intimated to NSDL	In-prin. app. pending for SE (Specify Names)
NIL	NIL	NIL	NIL	NIL	NIL	NIL

\*\*\* Rights, Bonus, Preferential Issue, ESOPs, Amalgamation, Conversion, Buyback, Capital Reduction Forfeiture, Any other (to specify).

18 Register of Members is updated (Yes/No) (If not, updated upto which date)	Yes
19 Reference of previous quarter with regards to excess dematerialised shares, if any.	NIL
20 Has the company resolved the matter mentioned in point no.19 above in the current quarter? If not, reason why?	NOT APPLICABLE
21 Mentioned the total no. of requests, if any, confirmed after 21 days and the total no. of requests pending beyond 21 days with the reasons for delay:	

Total No. of demat requests	No. of requests	No. of shares	Reasons for delay
Confirmed after 21 Days	NIL	NIL	NIL
Pending for more than 21 days	NIL	NIL	NIL

22 Name, Telephone & Fax No. of the Compliance Officer of the Co.	Mr. Ashish Murarka (Company Secretary & Compliance officer) Tel: 033 32627554
23 Name, Address, Tel. & Fax No., Regn. no. of certifying CS	Ms. Nomita Verma 2/22, Ashok Nagar Park, 2nd Floor, Kolkata-700040 Phone: 9883819757
24 Appointment of common agency for share registry work	Niche Technologies Pvt. Ltd. D Block, Room No. 511, B.R.B Basu Road, Kolkata-700001, West Bengal TEL : 033 2234-3576
25 Any other detail that the auditor may like to provide. (e.g. BIFR company, delisting from SE, company changed its name etc.)	

Date : 26.10.2016  
Place : Kolkata



*Nomita Verma*

Nomita Verma  
Practicing Company Secretary  
M. No. - A38222  
C.P. NO - 14563