

General information about company	
Scrip code	539833
Name of the entity	SHIVOM INVESTMENT AND CONSULTANCY LTD
Date of start of financial year	01-04-2016
Date of end of financial year	31-03-2017
Reporting Quarter	Half Yearly
Date of Report	30-09-2016
Risk management committee	Applicable

Annexure I														
Annexure I to be submitted by listed entity on quarterly basis														
I. Composition of Board of Directors														
Disclosure of notes on composition of board of directors explanatory														
Is there any change in information of board of directors compare to previous quarter												Yes		
Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of appointment in the current term	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 25(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes
1	Mr	SASHI PANDEY	BROPP5797P	03614033	Non-Executive - Independent Director	Not Applicable		17-08-2011		60	1	1	1	
2	Mr	MAHESH SHARMA	DPSPS8381L	03610825	Non-Executive - Independent Director	Not Applicable		17-08-2011		60	1	1	1	
3	Mr	PRADIP KUMAR SULTANIA	ALOPS8525P	00539550	Executive Director	Chairperson		17-08-2011			1	1	0	
4	Mrs	GAURI BHAGAT	AQGPB6603E	06950001	Non-Executive - Independent Director	Not Applicable		01-03-2016		60	2	1	1	

Annexure 1					
II. Composition of Committees					
Disclosure of notes on composition of committees explanatory					
Is there any change in information of committees compare to previous quarter					Yes
Sr	Name Of Committee	Name of Committee members	Category 1 of directors	Category 2 of directors	Name of other committee
1	Audit Committee	SASHI PANDEY	Non-Executive - Independent Director	Chairperson	
2	Audit Committee	MAHESH SHARMA	Non-Executive - Independent Director	Member	
3	Audit Committee	PRADIP KUMAR SULTANIA	Executive Director	Member	
4	Nomination and remuneration committee	SASHI PANDEY	Non-Executive - Independent Director	Member	
5	Nomination and remuneration committee	MAHESH SHARMA	Non-Executive - Independent Director	Chairperson	
6	Nomination and remuneration committee	GAURI BHAGAT	Non-Executive - Independent Director	Member	
7	Stakeholders Relationship Committee	SASHI PANDEY	Non-Executive - Independent Director	Member	
8	Stakeholders Relationship Committee	MAHESH SHARMA	Non-Executive - Independent Director	Member	
9	Stakeholders Relationship Committee	GAURI BHAGAT	Non-Executive - Independent Director	Chairperson	
10	Risk Management Committee	SASHI PANDEY	Non-Executive - Independent Director	Member	

Annexure 1					
II. Composition of Committees					
Sr	Name Of Committee	Name of Committee members	Category 1 of directors	Category 2 of directors	Name of other committee
11	Risk Management Committee	MAHESH SHARMA	Non-Executive - Independent Director	Member	
12	Risk Management Committee	PRADIP KUMAR SULTANIA	Executive Director	Chairperson	

Annexure 1			
Annexure 1			
III. Meeting of Board of Directors			
Disclosure of notes on meeting of board of directors explanatory			
Sr	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)
1	05-05-2016		
2	13-05-2016		7
3	30-05-2016		16
4		13-08-2016	74

Annexure 1							
IV. Meeting of Committees							
Disclosure of notes on meeting of committees explanatory							
Sr	Name of Committee	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Requirement of Quorum met (details)	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings (in number of days)	Name of other committee
1	Audit Committee		Yes				
2	Nomination and remuneration committee		Yes				
3	Stakeholders Relationship Committee		Yes				
4	Risk Management Committee		Yes				

Annexure 1			
V. Related Party Transactions			
Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	NA	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	NA	

Annexure 1		
VI. Affirmations		
Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 100 listed entities)	Yes
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes

Annexure III				
Annexure III to be submitted by listed entity at the end of 6 months after end of financial year along-with second quarter report of next financial year				
I. Affirmations				
Sr	Broad heading	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes	
2	Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes	
3	Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes	
4	Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes	
	Any other information to be provided			

Signatory Details	
Name of signatory	PRADIP KUMAR SULTANIA
Designation of person	Managing Director
Place	KOLKATA
Date	15-10-2016

