



SHIVOM INVESTMENT & CONSULTANCY LIMITED

91, N. S. Road, 3rd Floor, Vilayati Kothi, Kolkata - 700001

Phone no. 033 32627554, Email ID: shivomicl@gmail.com

Website : www.shivominvestmentconsultancytld.com

CIN: L74140WB1990PLC049044

January 14, 2016

To,
Compliance Department
Metropolitan Stock Exchange of India Limited
Vibgyor Towers, 4th floor, Plot No C 62, G - Block,
Opp. Trident Hotel, Bandra Kurla Complex,
Bandra (E),
Mumbai - 400 098

To,
Corporate Relationship Dept.,
The Calcutta Stock Exchange Limited
7 Lyons Range,
Kolkata - 700 001

Sub: Quarterly Compliance Report on Corporate Governance for the quarter ended 31ST December, 2015


Dear Sir/Madam

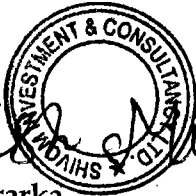
Pursuant to Regulation 27 Clause (2) of SEBI Listing Regulation, 2015, (LODR), We are Enclosing herewith the quarterly Compliance report on corporate governance for the quarter ended 31ST December, 2015.

Kindly take the above information on record.

Thanking you,

Yours faithfully,


Ashish Murarka
(Company Secretary)



ANNEXURE I

1. Name of Listed Entity: Shivom Investment & Consultancy Ltd

2. Quarter Ending: 31st December, 2015

I. Composition of Board of Directors								
Title (Mr./Ms)	Name of the Director	PANs & DIN	Category (Chairperson /Executive/ Non - Executive /in dependent/ Nominee) &	Date of Appointment in the current term /cessati on	Tenure*	No of Directorship in listed entities including this listed entity (Refer Regulation 25(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)
Ms.	SASHI PANDEY	DIN: 03614033 PAN: BROPP5797B	Non - Executive/in dependent	17/08/2011	5 Years	1	1	1
Mr.	MAHESH SHARMA	DIN: 03610825 PAN: DPSPS8381L	Non - Executive/in dependent	17/08/2011	5 Years	1	1	1
Mr.	PRADIP KUMAR SULTANIA	DIN: 00539550 PAN: ALOPS8525P	Executive	17/08/2011	5 Years	1	1	NIL

§PAN number of any director would not be displayed on the website of Stock Exchange

§Category of directors means executive/non-executive/independent/Nominee. if a director fits into more than one category write all categories separating them with hyphen

* to be filled only for Independent Director. Tenure would mean total period from which Independent director is serving on Board of directors of the listed entity in continuity without any cooling off period.

II. Composition of Committees		
Name of Committee	Name of Committee members	Category (Chairperson/Executive/Non-Executive/independent/Nominee) §
1. Audit Committee	SASHI PANDEY MAHESH SHARMA PRADIP KUMAR SULTANIA	Non - Executive/in dependent Non - Executive/in dependent Executive
2. Nomination & Remuneration Committee	SASHI PANDEY MAHESH SHARMA PRADIP KUMAR SULTANIA	Non - Executive/in dependent Non - Executive/in dependent Executive
3. Risk Management Committee(if applicable)	SASHI PANDEY MAHESH SHARMA PRADIP KUMAR SULTANIA	Non - Executive/in dependent Non - Executive/in dependent Executive
4. Stakeholders Relationship Committee'	SASHI PANDEY MAHESH SHARMA PRADIP KUMAR SULTANIA	Non - Executive/in dependent Non - Executive/in dependent Executive

§Category of directors means executive/non-executive/independent/Nominee. if a director fits into more than one category write all categories separating them with hyphen

III. Meeting of Board of Directors		
Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Maximum gap between any two consecutive (in number of days)
14.08.2015	13.11.2015	72 Days
01.09.2015		

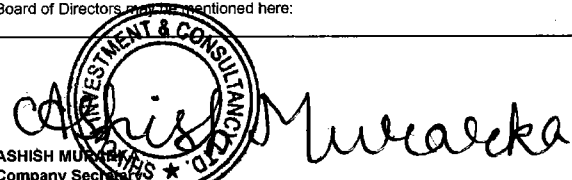
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IV. Meeting of Committees			
Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (details)	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings in number of days*
13.11.2015	Yes	14.08.2015	89 Days
* This information has to be mandatorily be given for audit committee, for rest of the committees giving this information is optional			
V. Related Party Transactions			
Subject			Compliance status (Yes/No/NA)refer note below
Whether prior approval of audit committee obtained			NA
Whether shareholder approval obtained for material RPT			NA
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit			NA
Note			
1 In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A.. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.			
2 If status is "No" details of non-compliance may be given here.			
VI. Affirmations			
1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015.			
2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015			
a. Audit Committee			
b. Nomination & remuneration committee			
c. Stakeholders relationship committee			
d. Risk management committee (applicable to the top 100 listed entities)			
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.			
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.			
5. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of Board of Directors may be mentioned here:			
 ASHISH MURARKA Company Secretary			

Note:

Information at Table I and II above need to be necessarily given in 1st quarter of each financial year. However if there is no change of information in subsequent quarter(s) of that financial year, this information may not be given by Listed entity and instead a statement "same as previous quarter" may be given.